0445.3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: James W. Klett, et al.

DOCKET NO.: 0445.3

SERIAL NO.:

09/489,640

ART UNIT:

1771

FILED:

January 24, 2000

EXAMINER:

TITLE:

Pitch-Based Carbon Foam Heat Sink With Phase Change Material

FOURTH REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner of Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

Sir:

Attached hereto is a copy of the official filing receipt received from the USPTO in the above identified patent application. There is an error with respect to inconsistent data with PTO records. The Continuing Data as Claimed by Applicant should read:

THIS APPLICATION IS A CON OF 09/093,406 06/08/1998 PAT 6,037,032

WHICH IS A CIP OF 08/921,875 09/02/1997 PAT 6,033,506

AND A CIP OF 08/923,877 09/02/1997 ABN

AND A CIP OF 09/337,027 06/25/1999

WHICH IS A CON OF 08/921,875 09/02/1997 PAT 6,033,506

AND A CIP OF 09/136,596 08/19/1998

WHICH IS A DIV OF 08/921,875 09/02/1997 PAT 6,033,506

Applicant respectfully requests the issuance of a corrected filing receipt.

Respectfully Submitted,

Vincent A. Branton, Attorney for Applicants

Reg. No. 39,120

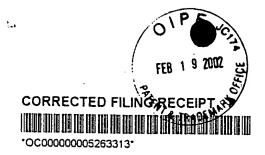
UT-Battelle, LLC

Post Office Box 2008

Oak Ridge, Tennessee 37831-6498

(865)574-2222

UYYJ YAB





UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT SEGRETARY AND

COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 2023

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/489,640	01/24/2000	1771	1854	6321-157	13	76	5

J A Marasco Lockheed Martin Energy Research Corporation P O Box 2009 Oak Ridge, TN 37831-8243

Date Mailed: 07/24/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Foreign Applications

If Required, Foreign Filing License Granted 03/30/2000

Title

Pitch-Based carbon foam heat sink with phase change material

Preliminary Class

428

Data entry by : GREEN, TERESA

Team: OIPE

Date: 07/24/2000

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the
 title of an application because a patent application, by nature, is a new idea or improvement.
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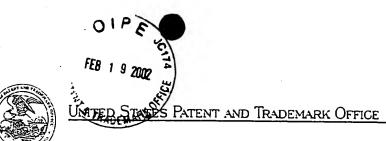
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J A Marasco Lockheed Martin Energy Research Corporation P O Box 2009 Oak Ridge, TN 37831-8243 CORRECTED FILING RECEIPT

"OC0000000005467530"

Date Mailed: 10/12/2000

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FORM PTO-2053-B (REV. 11/2000) Approved for use through xx/xx/xxxxx. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE te Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. KLETT ET AL. In re Application of: 09/489,640 Application No.: January 24, 2000 Filing Date: PITCH-BASED CARBON FOAM HEAT SINK Title: WITH PHASE CHANGE MATERIAL 1700-Valarie R. Reid Direct to: United States Patent and Trademark Office Washington, DC 20231 NOTICE UNDER 37 CFR 1.251 - Pending Application Statement (check the appropriate box):

The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

If The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

07/30/02

Signature

TATTE

Typed or printed name

A copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.